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PTO/SB/21 (6-98)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09.755, 498	
	Filing Date	MAY 15, 2001	
	First Named Inventor	JOSH ASHLEY	
	Group Art Unit	UNKNOWN	
	Examiner Name	UNKNOWN	
Total Number of Pages in This Submission		Attorney Docket Number	ASHLY-006A

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Charge Any Additional Fee Required, to Deposit Account No. 19-4330 <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69 and Accompanying Petition) <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (<i>please identify below</i>): -RETURN POSTCARD
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
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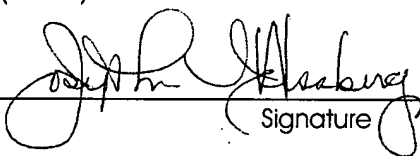
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2. Information Disclosure Statement Pursuant to 37 C.F.R. Section 1.97 (in triplicate); and
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Case ASHLY-006A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Josh Ashley

Serial No.: 09/855,409

Filed: May 15, 2001

For: RECESSED LIGHT
FIXTURE CONVERTED
TO LOWER VOLTAGE
HALOGEN FIXTURE

Art Unit: Unknown

Examiner: Unknown

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INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. SECTION 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir/Madam:

Pursuant to the Rules of Practice in patent cases, Applicant has not previously conducted a patentability search in the subject invention and is not in the possession of any relevant prior art documentation at this time.

As this Information Disclosure Statement is being submitted before the stipulated three months from the filing date of the application and/or before the mailing of a first Office Action, it is believed that no fee is required. If a fee is required, please charge Account Number 19-4330.

Respectfully submitted,

Dated: 7/5/01
Customer No. 007663

By:


Kit M. Stetina

Registration No. 29,445

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